

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE CORRECTED  
APPLICATION PAPERS - FILING DATE GRANTED**

Docket Number:  
11890/1

Application Number  
09/782,354

Filing Date  
February 12, 2001

Examiner  
Not Yet Assigned

Art Unit  
2633

Invention Title  
**METHOD AND APPARATUS FOR OPTICAL DATA  
TRANSMISSION AT HIGH DATA RATES WITH ENHANCED  
CAPACITY USING POLARIZATION MULTIPLEXING**

Inventor(s)  
SCHEMMANN, et al.

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: 7/16/01

Reg. No. P-48,673

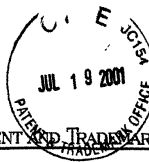
Signature: Howard Grossman  
Howard Grossman

In response to the May 16, 2001 Notice to File Corrected Application Papers, please find enclosed:

1. Eight (8) sheets of substitute drawings in compliance with 37 CFR 1.84.
2. A Preliminary Amendment in response to omitted Figure 9.
3. The deadline set for filing a response to the May 16, 2001 Notice to File Corrected Application Papers expires on July 16, 2001. Therefore, no fee is deemed necessary in the filing of this response. However, the Commissioner is also authorized to charge any necessary fees in connection with this paper to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed.
4. A copy of the Notice to File Corrected Application Papers mailed May 16, 2001 is also enclosed.

Dated: 7/16/01

By: Howard Grossman  
Howard Grossman (Reg. No. P-48,673)  
KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMM. 1  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20503  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,354	02/12/2001	Marcel F.C. Schemmann	11890/1

CONFIRMATION NO. 7787

FORMALITIES LETTER



\*0C000000006083631\*

Gerard A. Messina  
KENYON & KENYON  
One Broadway  
New York, NY 10004-1050

Date Mailed: 05/16/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been **omitted** from the application:

- Figure(s) 9 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined to be received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that was filed in the USPTO as the filing date of the above-identified application, a copy of item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.62) **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee (37 CFR 1.182) (with the \$130.00 petition fee (37 CFR 1.17(h))) **must** be filed within **TWO MONTHS** of the date of this Notice.

In the event that applicant elects option (II), a complete set of corrected drawings (including any omitted drawings) must be filed with the proposed changes in the prosecution of the application. A copy of this notice **MUST** be returned with the response. (703) 308-1202 T-2 - COPY TO BE RETURNED WITH RESPONSE